

# BUSINESS ACCOUNT APPLICATION

New  Update Date \_\_\_\_\_

Share Savings  Share Draft/Checking  Term Account

Money Market  Other: \_\_\_\_\_

## IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING AN ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person or business that opens an account. What this means for you: When you open an account, we will ask for your name, address, and date of birth, if applicable, and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

### BUSINESS LEGAL NAME:

\_\_\_\_\_

- LLC  
 CORPORATION  
 SOLE PROPRIETORSHIP  
 PARTNERSHIP  
 RECREATION, CLUB, OR SIMILAR

### EIN/TIN:

### BUSINESS ADDRESS:

\_\_\_\_\_

### OWNERSHIP DATE:

### ESTABLISHMENT DATE:

### NATURE/PURPOSE OF BUSINESS:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

## LIST ALL OFFICERS, PARTNERS, OR MEMBERS

NAME: \_\_\_\_\_

Title: \_\_\_\_\_ Ownership %: \_\_\_\_\_

NAME: \_\_\_\_\_

Title: \_\_\_\_\_ Ownership %: \_\_\_\_\_

NAME: \_\_\_\_\_

Title: \_\_\_\_\_ Ownership %: \_\_\_\_\_

## ACCOUNT SIGNER INFORMATION

NAME: \_\_\_\_\_

SSN/TIN \_\_\_\_\_ Birth Date \_\_\_\_\_

Physical Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone Number \_\_\_\_\_

NAME: \_\_\_\_\_

SSN/TIN \_\_\_\_\_ Birth Date \_\_\_\_\_

Physical Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone Number \_\_\_\_\_

NAME: \_\_\_\_\_

SSN/TIN \_\_\_\_\_ Birth Date \_\_\_\_\_

Physical Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone Number \_\_\_\_\_

## BUSINESS DUE DILIGENCE

### WHO ARE YOUR CUSTOMERS?

Domestic  International

Public  Retailer  Wholesaler

Manufacturer  Online Retailer

### WHO ARE YOUR VENDORS/SUPPLIERS?

Domestic  International

Retailer  Wholesaler

Manufacturer  Online Retailer

### OPENING DEPOSIT

Owner Contribution

Investor Funds

Transfer From External Accounts

Earnings/Surplus

### EXPECTED MONTHLY TRANSACTION ACTIVITY

Cash Deposits	Amount \$ _____	# _____
Cash Withdrawals	Amount \$ _____	# _____
Check Deposits	Amount \$ _____	# _____
Checks Issued	Amount \$ _____	# _____
ACH Deposits	Amount \$ _____	# _____
ACH Debits	Amount \$ _____	# _____
Domestic Incoming Wires	Amount \$ _____	# _____
Domestic Outgoing Wires	Amount \$ _____	# _____

### ACCOUNT SERVICES NEEDED

Debit/ ATM Card

Mobile Account Access

Online Account Access

Overdraft Protection

Other: \_\_\_\_\_

**TIN CERTIFICATION AND BACKUP WITHHOLDING INFORMATION**

Under penalties of perjury, the undersigned certifies on behalf of the Account owner that:

- (1) The number shown on this form is the Account Owner's correct taxpayer identification number.
- (2) The account owner is not subject to backup withholding because: it is exempt from backup withholding or it has not been notified by the IRS that it is subject to backup withholding as a result of a failure to report all interest or dividends, or the IRS has notified the Account Owner that it is no longer subject to backup withholding, and
- (3) The Account Owner is a U.S. Citizen or other U.S. person. For federal tax purposes, the Account Owner is considered a U.S. person if the Account Owner is: an individual who is a U.S. citizen or U.S. resident alien; a partnership, corporation, company, or association created or organized in the United States or under the laws of the United States; and estate (other than a foreign estate); or a domestic trust (as defined in Regulations section 301.7701-7).

*Certification Instructions:* Cross out item 2 above if the Account Owner has been notified by the IRS that it is currently subject to backup withholding because it has failed to report all interest and dividends on its tax return. Cross out item 3 and complete the appropriate W-8 form if the Account Owner is not a U.S. person.

**UNLAWFUL INTERNET GAMBLING AND OTHER ILLEGAL ACTIVITIES**

You agree that you are not engaged in unlawful Internet gambling or any other illegal activity. You agree that you will not use any of your accounts, access devices or services for unlawful gambling or other illegal activities. We may terminate your account relationship if you engage in unlawful gambling or other illegal activities.

FOR CREDIT UNION USE ONLY	
<b>Effective Date:</b>	<b>Opened or Updated/Approved By:</b>
<b>Entity Formation Documents Reviewed By:</b>	
<b>Copies Obtained</b> <input type="checkbox"/> Corporate Resolution <input type="checkbox"/> Partnership Agreement <input type="checkbox"/> Bylaws <input type="checkbox"/> Fictitious Name Statement <input type="checkbox"/> Business License	
<b>Government List(s)</b>	<b>Website Verification</b>
<input type="checkbox"/> Treasury <input type="checkbox"/> OFAC	Date Verified:
<input type="checkbox"/> Other:	
<b>List Verification Completion Date:</b> _____	
<b>By:</b> _____	

**AUTHORIZATION**

On behalf of the Account Owner, the undersigned apply(ies) for membership in Northrop Grumman Federal Credit Union, and acknowledge(s) receipt of and agree(s) to the terms of this Business Account Application, the Business Membership and Account Agreement, the Funds Availability Policy Disclosure, and the additional documents and disclosures the Credit Union has provided, as amended from time to time, and as applicable to the accounts and services requested herein. You authorize us to check your account, credit and employment history, and obtain reports from third parties, including credit reporting agencies, to verify your eligibility for the accounts and services you request. The undersigned also agree(s) to promptly notify the Credit Union in writing of any changes to this document. The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

# Business Account Application

X \_\_\_\_\_  
Signature

Title \_\_\_\_\_ Date \_\_\_\_\_

X \_\_\_\_\_  
Signature

Title \_\_\_\_\_ Date \_\_\_\_\_

X \_\_\_\_\_  
Signature

Title \_\_\_\_\_ Date \_\_\_\_\_

X \_\_\_\_\_  
Signature

Title \_\_\_\_\_ Date \_\_\_\_\_

